

PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(MBHB Case No. 02-728-B (400.120))

In re Application of:

McSwiggen, James A.

Serial No.: 10/607,933 Group Art Unit: 1645

Filed: June 27, 2003 Examiner: Not Assigned

For: RNA Interference Mediated Treatment)
Of Alzheimer's Disease Using Short)
Interfering Nucleic Acid) Conf. No. 8658

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

TRANSMITTAL LETTER

In regard to the above identified application:

1. We are transmitting herewith the attached papers for the above identified new patent application:
 Third Supplemental Information Disclosure Statement;
 Third Supplemental Information Disclosure Statement (IDS) PTO-1449 Form; and
 Return Receipt Postcard.
2. With respect to additional fees, no fee is believed due at this time.
3. GENERAL AUTHORIZATION: Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450 on 12-4-06
2006.

By :



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Reg. No. 43,673

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(MBHB Case No. 02-728-B (400.120))**

In re Application of:)	
McSwiggen, James A.)	
Serial No.: 10/607,933)	Group Art Unit: 1645
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For: RNA Interference Mediated Treatment Of Alzheimer's Disease Using Short Interfering Nucleic Acid)	Conf. No. 8658

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. Section 1.97 - 1.99, the Applicant wishes to make the following references of record in the above-identified application. This Third Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. A partial recitation of the priority claim is as follows. This application is a continuation-in-part of USSN 10/444,853, filed May 23, 2003. This application is also a continuation-in-part of USSN 09/930,423, filed August 15, 2001 and a continuation-in-part of International Patent Application No. PCT/US03/04710, filed February 18, 2003, which is a continuation-in-part of USSN 10/205,309, filed July 25, 2002. All of the applications recited herein are relied upon for an earlier filing date under 35 U.S.C. §120. In accordance with Rule 37 CFR §1.98(d), all references have been previously cited and submitted to the Patent and Trademark Office with prior applications and no references are enclosed herein.

Portions of the listed references may be material to the Examiner's consideration of the presently pending claims. This statement is not a representation that the listed references have

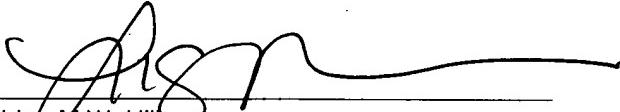
effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Section 102 or Section 103.

Applicants do not believe any fee is due with this submission. If this belief is in error and the Patent Office determines that the fee prescribed in the relevant portion of 37 C.F.R. Section 1.97 is applicable, the undersigned attorney by his signature hereby authorizes any such fee to be debited from Deposit Account 13-2490.

Respectfully submitted,
McDonnell Boehnen Hulbert & Berghoff LLP

Date: 12-4

2006 By:


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FORM PTO-1449
(Rev. 2-32)



U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

02-728-B (400.120)

Serial No.

10/607,933

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

(Use several sheets if necessary)

Applicant:
McSwiggen et al.

Filing Date:

June 27, 2003

Group:

1645

U.S. PATENT APPLICATION DOCUMENTS

Examiner Initial	Document Number	Filing Date	Name	Class	Subclass	Publication Date if Appropriate
*	08/975,238	06/19/01	Matulic-Adamic et al.			
*	09/301,511	04/28/99	Beigleman et al.			
*	09/740,332	12/18/00	Blatt et al.			
*	09/800,594	03/06/01	Usman and McSwiggen			
*	10/151,116	05/17/02	Matulic-Adamic et al.			
*	10/417,012	04/16/03	McSwiggen et al.			
*	10/422,704	04/24/03	McSwiggen et al.			
*	10/607,933	06/27/03	McSwiggen et al.			
*	10/652,791	08/29/03	McSwiggen et al.			
*	10/693,059	10/23/03	McSwiggen et al.			
*	10/720,448	11/24/03	McSwiggen et al.			
*	10/727,780	12/03/03	Vaish et al.			
*	10/757,803	01/14/04	McSwiggen et al.			
*	10/780,447	02/13/04	Vargeese et al.			
*	10/826,966	04/16/04	McSwiggen et al.			
*	60/292,217	05/18/01	Adamic et al.			
*	60/306,883	07/20/01	Vargeese et al.			

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DATE CONSIDERED

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)					
Applicant: McSwiggen et al.					
			Filing Date: June 27, 2003	Group: 1645	

*	60/311,865	08/13/01	Vargeese et al.			
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*		4,987,071	01/22/91	Cech et al.			
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*		5,138,045	08/11/92	Vargeese et al.			
*		5,214,136	05/25/93	Lin et al.			
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*		5,849,902	12/15/98	Arrow et al.			

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		Document Number	Date	Country	Class	Subclass	Translation
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*	90/12096	10/18/90	WO (Low et al.)				
*	91/03162	03/21/91	WO (Rossi et al.)				
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*	98/58058	12/23/98	WO (Ludwig & Sproat)				
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*	99/55857	11/04/99	WO (Beigelman et al.)				
*	99/66063	12/23/99	WO (Manoharan et al.)				
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*	2003/024420	03/27/03	WO (Ahlheim et al.)				
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*	Adah et al., "Chemistry and Biochemistry of 2',5'-Oligoadenylate-Based Antisense Strategy," <i>Current Medicinal Chemistry</i> , 8, 1189-1212 (2001)
*	Antopolksy et al., "Peptide-Oligonucleotide Phosphorothioate Conjugates with Membrane Translocation and Nuclear Localization Properties," <i>Bioconjugate Chem.</i> 10:598-606 (1999)
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*	Bannai et al., "Effect of Injection of Antisense of Oligodeoxynucleotides of GAD Isozymes into Rat Ventromedial Hypothalamus on Food Intake and Locomotor Activity," <i>Brain Research</i> 784:305-315 (1998)
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